H-3766.1		

HOUSE BILL 2231

State of Washington 54th Legislature 1996 Regular Session

By Representatives Mastin and Dyer

Read first time 01/08/96. Referred to Committee on Government Operations.

- 1 AN ACT Relating to the rule-making process; and amending RCW
- 2 34.05.310, 34.05.330, 34.05.335, and 34.05.350.
- 3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 4 **Sec. 1.** RCW 34.05.310 and 1995 c 403 s 301 are each amended to 5 read as follows:
- 6 (1) To meet the intent of providing greater public access to administrative rule making and to promote consensus among interested parties, agencies shall solicit comments from the public on a subject of possible rule making before filing with the code reviser a notice of proposed rule making under RCW 34.05.320. The agency shall prepare a
- 11 statement of ((inquiry)) intent that:
- 12 (a) Identifies the specific statute or statutes authorizing the 13 agency to adopt rules on this subject;
- 14 (b) Discusses why rules on this subject may be needed and what they 15 might accomplish;
- 16 (c) Identifies other federal and state agencies that regulate this 17 subject, and describes the process whereby the agency would coordinate 18 the contemplated rule with these agencies;

p. 1 HB 2231

- 1 (d) Discusses the process by which the rule might be developed, 2 including, but not limited to, negotiated rule making, pilot rule 3 making, or agency study;
- 4 (e) Specifies the process by which interested parties can 5 effectively participate in the decision to adopt a new rule and 6 formulation of a proposed rule before its publication.

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The statement of ((inquiry)) intent shall be filed with the code reviser for publication in the state register at least thirty days before the date the agency files notice of proposed rule making under RCW 34.05.320 and shall be sent to any party that has requested receipt of the agency's statements of ((inquiry)) intent.

- (2) Agencies are encouraged to develop and use new procedures for reaching agreement among interested parties before publication of notice and the adoption hearing on a proposed rule. Examples of new procedures include, but are not limited to:
- 16 (a) Negotiated rule making by which representatives of an agency 17 and of the interests that are affected by a subject of rule making, 18 including, where appropriate, county and city representatives, seek to 19 reach consensus on the terms of the proposed rule and on the process by 20 which it is negotiated; and
- (b) Pilot rule making which includes testing the feasibility of complying with or administering draft new rules or draft amendments to existing rules through the use of volunteer pilot groups in various areas and circumstances, as provided in RCW 34.05.313 or as otherwise provided by the agency.
- (3)(a) An agency must make a determination whether negotiated rule making, pilot rule making, or another process for generating participation from interested parties prior to development of the rule is appropriate.
- 30 (b) An agency must include a written justification in the rule-31 making file if an opportunity for interested parties to participate in 32 the rule-making process prior to publication of the proposed rule has 33 not been provided.
 - (4) This section does not apply to:
- 35 (a) Emergency rules adopted under RCW 34.05.350;
- 36 (b) Rules relating only to internal governmental operations that 37 are not subject to violation by a nongovernment party;
- 38 (c) Rules adopting or incorporating by reference without material 39 change federal statutes or regulations, Washington state statutes,

HB 2231 p. 2

- rules of other Washington state agencies, shoreline master programs other than those programs governing shorelines of state-wide significance, or, as referenced by Washington state law, national consensus codes that generally establish industry standards, if the material adopted or incorporated regulates the same subject matter and
- 7 (d) Rules that only correct typographical errors, make address or 8 name changes, or clarify language of a rule without changing its 9 effect;
- 10 (e) Rules the content of which is explicitly and specifically 11 dictated by statute;
- 12 (f) Rules that set or adjust fees or rates pursuant to legislative 13 standards; or
- 14 (g) Rules that adopt, amend, or repeal:

conduct as the adopting or incorporating rule;

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- 15 (i) A procedure, practice, or requirement relating to agency 16 hearings; or
- 17 (ii) A filing or related process requirement for applying to an 18 agency for a license or permit.
- 19 **Sec. 2.** RCW 34.05.330 and 1995 c 403 s 703 are each amended to 20 read as follows:
- (1) Any person may petition an agency requesting the adoption, 21 amendment, or repeal of any rule. The office of financial management 22 23 shall prescribe by rule the format for such petitions and the procedure 24 for their submission, consideration, and disposition and provide a 25 standard form that may be used to petition any agency. Within sixty days after submission of a petition, the agency shall either (a) deny 26 the petition in writing, stating (i) its reasons for the denial, 27 specifically addressing the concerns raised by the petitioner, and, 28 29 where appropriate, (ii) the alternative means by which it will address 30 the concerns raised by the petitioner, or (b) initiate rule-making proceedings in accordance with this chapter. 31
 - (2) If an agency denies a petition to repeal or amend a rule submitted under subsection (1) of this section, the petitioner, within thirty days of the denial, may appeal the denial to the governor. The governor shall immediately file notice of the appeal with the code reviser for publication in the Washington state register. Within forty-five days after receiving the appeal, the governor shall either (a) deny the petition in writing, stating (i) his or her reasons for

p. 3 HB 2231

- 1 the denial, specifically addressing the concerns raised by the
- 2 petitioner, and, (ii) where appropriate, the alternative means by which
- 3 he or she will address the concerns raised by the petitioner; (b) for
- 4 agencies listed in RCW 43.17.010, direct the agency to initiate rule-
- 5 making proceedings in accordance with this chapter; or (c) for agencies
- 6 not listed in RCW 43.17.010, recommend that the agency initiate rule-
- 7 making proceedings in accordance with this chapter. The governor's
- 8 response to the appeal shall be published in the Washington state
- 9 register and copies shall be submitted to the chief clerk of the house
- 10 of representatives and the secretary of the senate.
- 11 (3) <u>If an agency denies a petition to repeal or amend a rule</u>
- 12 <u>submitted under subsection (1) of this section, the petitioner may</u>
- 13 appeal to the joint administrative rules review committee.
- 14 (4) In petitioning for repeal or amendment of a rule under this
- 15 section, a person is encouraged to address, among other concerns:
- 16 (a) Whether the rule is authorized;
- 17 (b) Whether the rule is needed;
- 18 (c) Whether the rule conflicts with or duplicates other federal,
- 19 state, or local laws;
- 20 (d) Whether alternatives to the rule exist that will serve the same
- 21 purpose at less cost;
- (e) Whether the rule applies differently to public and private
- 23 entities;
- 24 (f) Whether the rule serves the purposes for which it was adopted;
- 25 (g) Whether the costs imposed by the rule are unreasonable;
- 26 (h) Whether the rule is clearly and simply stated; and
- 27 (i) Whether the rule is different than a federal law applicable to
- 28 the same activity or subject matter without adequate justification.
- 29 $((\frac{4}{1}))$ (5) The business assistance center and the office of
- 30 financial management shall coordinate efforts among agencies to inform
- 31 the public about the existence of this rules review process.
- (((5))) (6) The office of financial management shall initiate the
- 33 rule making required by subsection (1) of this section by September 1,
- 34 1995.
- 35 **Sec. 3.** RCW 34.05.335 and 1989 c 175 s 8 are each amended to read
- 36 as follows:

HB 2231 p. 4

- 1 (1) A proposed rule may be withdrawn by the proposing agency at any 2 time before adoption. A withdrawn rule may not be adopted unless it is 3 again proposed in accordance with RCW 34.05.320.
- 4 (2) Before adopting a rule, an agency shall consider the written 5 and oral submissions, or any memorandum summarizing oral submissions.
- 6 (3) Rules not adopted and filed with the code reviser within ((one hundred eighty)) two hundred ten days after publication of the text as last proposed in the register shall be regarded as withdrawn. An agency may not thereafter adopt the proposed rule without refiling it in accordance with RCW 34.05.320. The code reviser shall give notice of the withdrawal in the register.
- 12 (4) An agency may not adopt a rule before the time established in 13 the published notice, or such later time established on the record or 14 by publication in the state register.
- 15 **Sec. 4.** RCW 34.05.350 and 1994 c 249 s 3 are each amended to read 16 as follows:
- 17 (1) If an agency for good cause finds:

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- 18 (a) That immediate adoption, amendment, or repeal of a rule is 19 necessary for the preservation of the public health((τ)) or safety((τ) 20 or general welfare,)) and that observing the time requirements of 21 notice and opportunity to comment upon adoption of a permanent rule 22 would be contrary to the public interest; or
- (b) That state or federal law or federal rule or a federal deadline for state receipt of federal funds requires immediate adoption of a rule,
- the agency may dispense with those requirements and adopt, amend, or repeal the rule on an emergency basis. The agency's finding and a concise statement of the reasons for its finding shall be incorporated in the order for adoption of the emergency rule or amendment filed with the office of the code reviser under RCW 34.05.380 and with the rules review committee.
 - (2) An emergency rule adopted under this section takes effect upon filing with the code reviser, unless a later date is specified in the order of adoption, and may not remain in effect for longer than one hundred twenty days after filing. Identical or substantially similar emergency rules may not be adopted in sequence unless conditions have changed or the agency has filed notice of its intent to adopt the rule as a permanent rule, and is actively undertaking the appropriate

p. 5 HB 2231

procedures to adopt the rule as a permanent rule. This section does not relieve any agency from compliance with any law requiring that its permanent rules be approved by designated persons or bodies before they become effective.

(3) Within seven days after the rule is adopted, any person may 5 petition the governor requesting the immediate repeal of a rule adopted 6 7 on an emergency basis by any department listed in RCW 43.17.010. 8 Within seven days after submission of the petition, the governor shall either deny the petition in writing, stating his or her reasons for the 9 10 denial, or order the immediate repeal of the rule. In ruling on the petition, the governor shall consider only whether the conditions in 11 subsection (1) of this section were met such that adoption of the rule 12 on an emergency basis was necessary. If the governor orders the repeal 13 of the emergency rule, any sanction imposed based on that rule is void. 14 15 This subsection shall not be construed to prohibit adoption of any rule as a permanent rule. 16

17 (4) In adopting an emergency rule, the agency shall comply with 18 section 4 of this act or provide a written explanation for its failure 19 to do so.

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HB 2231 p. 6